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DATE MAILED: 12/18/2003

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|---------------|-----------------|-----------------|---------------------------|---------------------|------------------|
| | APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| | 10/602,488 | 06/23/2003 | Tatyana N. Andryushchenko | 42P16161 | 1128 |
| | 7: | 590 12/18/2003 | | EXAM | INER |
| | Todd M. Beck | cer | GURLEY, LYNNE ANN | | |
| | BLAKELY, SC | OKOLOFF, TAYLOR | | | |
| Seventh Floor | | | | ART UNIT | PAPER NUMBER |
| | 12400 Wilshire | Boulevard | | 2812 | |
| | Los Angeles (| CA 90025-1026 | | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | Applica | ition No. | Applicant(s) | | | | | |
|--|--|-------------------------|-------------------|---|-----------------------|--|--|--|--|
| Office Action Summary | | | ,488 | ANDRYUSHCHE | ANDRYUSHCHENKO ET AL. | | | | |
| | | | er | Art Unit | | | | | |
| | | | A. Gurley | 2812 | | | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence a Period for Reply | | | | | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status | | | | | | | | | |
| 1)⊠ | Responsive to communication(s) filed of | on <u>14 October 20</u> | <u>)03</u> . | | | | | | |
| 2a) <u></u> □ | This action is FINAL . 2b) | ☑ This action is | non-final. | | | | | | |
| 3) | 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | | | | |
| Dispositi | ion of Claims | | | | | | | | |
| 4) 🖂 | Claim(s) 1-33 is/are pending in the app | lication. | | | | | | | |
| | 4a) Of the above claim(s) <u>23-33</u> is/are withdrawn from consideration. | | | | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | | | | |
| 6)⊠ | Claim(s) 1-22 is/are rejected. | | | | | | | | |
| 7) | Claim(s) is/are objected to. | | | | | | | | |
| 8)[| Claim(s) are subject to restriction | n and/or electior | requirement. | | | | | | |
| Applicati | ion Papers | | | | | | | | |
| - | The specification is objected to by the E | | | | | | | | |
| 10)⊠ | 10) $⊠$ The drawing(s) filed on <u>23 June 2003</u> is/are: a) $□$ accepted or b) $⊠$ objected to by the Examiner. | | | | | | | | |
| | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | | | |
| | Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | | | | |
| | The oath or declaration is objected to by | y the Examiner. | Note the attached | Office Action or form P | TO-152. | | | | |
| Priority under 35 U.S.C. §§ 119 and 120 | | | | | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 13) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78. a) The translation of the foreign language provisional application has been received. 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78. | | | | | | | | | |
| Attachment(s) | | | | | | | | | |
| 2) Notic | e of References Cited (PTO-892) te of Draftsperson's Patent Drawing Review (PTO- mation Disclosure Statement(s) (PTO-1449) Pape | | | ımmary (PTO-413) Paper Noi ormal Patent Application (PTo | | | | | |

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DETAILED ACTION

Election/Restrictions

- 1. Applicant's election without traverse of claims 1-22 in the Applicant's response filed 10/14/03 is acknowledged.
- 2. Claim23-33 have been withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention, there being no allowable generic or linking claim. Election was made without traverse in Applicant's response filed 10/14/03.

Drawings

3. The drawings are objected to because the reference numbers are drawn by hand. A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

Specification

4. The disclosure is objected to because of the following informalities: for example, on page 5, line 23, "that is the exposed" is informal. Changing to "that is exposed" is suggested for formality.

Appropriate correction is required.

5. The specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

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Claim Rejections - 35 USC § 102

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 7. Claims 1-2, 8-9, 11-13, 16, 19-20 and 22 are rejected under 35 U.S.C. 102(b) as being anticipated by Mayer et al. (US 2002/0074238, dated 6/20/02).

Mayer shows the method as claimed in Figures 1-4 and corresponding text, in a method of electropolishing a damascene structure by selective agitation, as: providing a wafer, the wafer comprising an interlayer-dielectric (ILD)101 having a feature therein 103/105, an under-layer deposited on the ILD, a barrier layer deposited on the under-layer [0036] and a conductive layer deposited on the barrier layer [0037]; exposing the barrier layer (exposed by the electropolishing [0078] – [0079]); placing the wafer in an electrolyte, such that at least the barrier layer is immersed in the electrolyte [0078] – [0079]; and applying an electrical potential between the electrode and the wafer. The conductive layer is copper [0037]. The remaining metal layer may be removed by CMP [0079], but preferably is removed with the electropolishing process. There are additives [0042] – [0043], [0058] – [0061].

Claim Rejections - 35 USC § 103

- 8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person

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having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

- 9. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
 - 1. Determining the scope and contents of the prior art.
 - 2. Ascertaining the differences between the prior art and the claims at issue.
 - 3. Resolving the level of ordinary skill in the pertinent art.
 - 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
- 11. Claims 3-7, 10, 14-15, 17-18 and 21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mayer et al. (US 2002/0074238, dated 6/20/02) in view of Bao et al. (US 2003/0207558, dated 11/6/03, filed 5/6/02).
- 12. Mayer shows the method substantially as described in the preceding paragraphs.
- 13. Mayer lacks anticipation only in not teaching the barrier/adhesion layer materials; the pH, the electrolyte composition, and the electrical potential used.

Bao teaches the conventional refractory metal/refractory metal nitride adhesion/diffusion barrier layers [0006] – [0007].

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It would have been obvious to one of ordinary skill in the art to have used the refractory metal/refractory metal nitride adhesion and barrier layers taught in Bao in the method of Mayer, with the motivation that these materials are conventional as described in Bao.

It would have been obvious to one of ordinary skill in the art to have used any of the variations of the claimed electrolyte composition, the electrical potential and the pH in the electropolishing method in Mayer, with the motivation that these parameters are chosen based on the metal to be removed and to the resulting layers from the polishing and the easy removal of these resulting layers. Mayer discloses that many additives may be used to vary the solution.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lynne A. Gurley whose telephone number is 703-305-3474. The examiner can normally be reached on M-F 7:30-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Niebling can be reached on 703-308-3325. The fax phone number for the organization where this application or proceeding is assigned is 703-308-7722.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 308-308-0956.

PATENT EXAMINER

Authorit 2812

LAG 12/4/03